

# Governing Information Assets in the Privacy Age

know your records ▪ privacy and security ▪ customer communications

## Can we ever be certain that our information governance is compliant?

With one regulatory change occurring every twelve minutes on average, this is a question that Records and Data Managers across the globe ask themselves daily.

Faced with ever-increasing quantities of records and data to manage, and regulation that is escalating at a relentless pace, large financial institutions are perpetually challenged by both volume and complexity.

## New age challenges

- **Digital transformation** has led to information overload and made electronic unstructured information the de facto standard; new channels of customer communications have radically expanded the variety of record formats and sources of data that must be managed.
- **Permanent sea change in regulation** with more than 50,000 new regulations since the financial crisis and 53,000 regulatory changes every year. Which regulations relate to your information assets? How can you create compliant records management policy and apply it consistently across the enterprise? How can you gain insight into the impact of regulatory change, and respond in a timely manner?
- **Heightened focus on data privacy** has disrupted the world of information governance. Personal data within records must be managed and audited throughout the information lifecycle, and failure to dispose of records when required to do so is now in breach of compliance.
- **Spotlight on security** requires that records and data must be protected and tracked in transit, as they cross from one jurisdiction to another.

Poorly connected, decades-old systems and manual processes are ineffective. They are failing to deliver the depth of insight and audit trail required to meet regulatory obligations and resolve non-compliance, in this new age of privacy.



## With CUBE, you know.

CUBE is an enterprise-scale regulatory intelligence platform that automates the end-to-end process of managing regulatory change.

### Delivering exceptional value

- › the world's richest source of global financial services regulatory intelligence
- › intelligent, automated mapping of all regulations to your records and data, identifying those relevant to your firm, across all jurisdictions
- › deep insight into the compliance status of all records, policies and controls enterprise-wide
- › automated regulatory gap analysis, pinpointing the impact of regulatory change on your recordkeeping, in real time
- › consistent application of the right compliant action, at the right time, across the enterprise

Underpinned by Artificial Intelligence (AI), Machine Learning (ML) and Natural Language Processing (NLP), CUBE delivers exceptional automation of the entire information compliance lifecycle.

## Why choose CUBE?

- › **Vast time and cost savings** through automation and the elimination of manual processes
- › **Continuous monitoring** of your compliance status, 365/24/7
- › **Enterprise-scale compliance** accommodating exponential growth in information, regulations, and business operations
- › **Avoidance of fines** resulting from breaches of regulation and law
- › **Reputational risk mitigation** which fosters trust and safeguards revenues
- › **Defensible audit trail** enabling you to prove that you are managing your records in line with regulatory obligations
- › **Lightweight, versatile infrastructure (SaaS)** that deploys quickly, scales easily and requires no internal IT overheads or maintenance
- › **Seamless integration** with pre-existing systems and processes, via CUBE's Open API connectors

## Delivering compliant financial services recordkeeping, since 2011

CUBE was launched in the wake of the financial crisis, and was the world's first purpose-built regulatory intelligence platform for financial services recordkeeping compliance.

With vast experience in enterprise-scale document, content and records management, our founding team was quick to recognize how voluminous and extensive regulatory obligations would become. They understood the challenges that lay ahead and applied their expertise in technology innovation to develop a stand-out solution.

Today, CUBE remains the market-leader in this sector, and is deployed throughout some of the largest financial institutions in the world: global banks, US national banks, investment banks, wealth management firms and insurance companies.

CUBE is ideally suited to managing regulatory change automatically, for financial institutions operating across multiple jurisdictions, in highly complex regulatory environments.

More information governance factsheets from CUBE available at [www.cube.global/resources](http://www.cube.global/resources)

KNOW YOUR RECORDS

DATA PRIVACY & SECURITY

CUSTOMER COMMUNICATIONS

*Two million customer staff consume regulatory intelligence, in 180 countries and 60 languages, powered by CUBE*

For a deeper dive  
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